

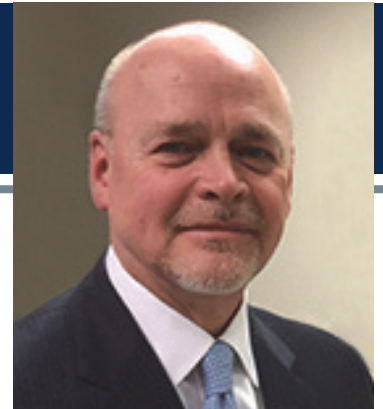
## Management Committee

Mark is the Executive Vice President of the Private Client Division, responsible for the strategic management of the Firm's Financial Advisors and branch network. He is also a member of the Management Committee and assists with setting the Firm's direction by serving on the Operating, Management, Risk Management, and New Product Committees.

Mark joined Oppenheimer in 2008 after holding a variety of leadership roles in the industry. He was the President of Gibraltar Securities, an Executive Vice President and member of the Board of Directors of Tucker Anthony Sutro, a Senior Vice President and Regional Director for the Royal Bank of Canada, and a Branch Manager for Bear Stearns.

He has managed Middle Market Fixed Income Sales, Private Client Services, and the integration of acquisitions. He is also a former member of FINRA District 9.

Mark graduated from Bloomfield College.



**Mark Whaley**

Executive Vice President  
Private Client Division