



Press Release

OPPENHEIMER EXPANDS ITS FINANCIAL TECHNOLOGY EXPERTISE WITH NEW MANAGING DIRECTOR

New York, July 17, 2018 – [Oppenheimer & Co. Inc.](#) (“Oppenheimer”) – a leading investment bank, wealth manager, and a subsidiary of Oppenheimer Holdings (NYSE: OPY) – today announced that Rahul Buxani joins the Firm’s Global Technology Investment Banking team in New York as a Managing Director focused on Financial Technology (FinTech).

This addition is part of a significant strategic expansion of Oppenheimer’s commitment to the technology industry under the leadership of Robin Graham, Head of Technology Investment Banking. Buxani is the latest prominent technology banking hire made by the Firm, including [Nitin Doke](#) in Software, Cloud & IT Infrastructure and [Angie Pham](#) in Internet and Digital Media. Other recent hires include Managing Directors [Jens Munk](#) and [Ramon De Paz](#), who joined the team in Frankfurt and London, respectively. Buxani’s hire also demonstrates the Firm’s strong commitment to the financial services industry. [Gilbert Dychiao](#) joined in April to head the Firm’s Financial Institutions Investment Banking group. Buxani will be based in New York and report directly to Robin Graham.

“Financial technology companies are among the most innovative and disruptive, bringing sweeping changes and efficiencies to the financial services sector from payments to lending,” said Graham. “Oppenheimer’s continued investment in areas of significant new company formation such as FinTech demonstrates our commitment to connecting the emerging cohorts of value-creating private companies to durable sources of growth capital and strategic partners. Rahul’s expertise and experience will provide the best insights and service to our clients across the financial technology sector.”

Buxani has over 15 years of experience with the financial technology industry and has advised on a wide variety of M&A, private equity and capital-raising transactions for clients across the financial technology spectrum in North America, Europe, Asia-Pacific, and Latin America. Prior to joining Oppenheimer, Rahul was the Head of Financial Technology Investment Banking at Ernst & Young Capital Advisors in New York, where he was responsible for developing coverage and maintaining relationships with corporate clients in the financial technology and market structure sectors in the U.S. Prior to Ernst & Young, he was a Vice President in the financial institutions group at J.P. Morgan, and on the business and product development team for the London Stock Exchange Group.

“Advanced technologies are a strategic priority for Oppenheimer because businesses are looking for a source of deep knowledge and technical expertise to help them navigate a rapidly-evolving financial industry landscape,” said Robert Lowenthal, Head of U.S. Investment Banking for Oppenheimer.

“Post financial crisis, financial technology has evolved considerably to focus on the consumer, and rightly so,” added Buxani. “Changes in consumer needs and behavior have inspired a significant innovation drive in financial services, and these innovations in turn, have forced the industry to embrace higher standards of ethics, transparency, efficiency, and service quality. Consumers are more empowered and now interact with financial services companies in vastly different ways than they did just 10 years ago. I’m proud to join a Firm that recognizes this important connection between technology and people, and happy to see Oppenheimer continually investing in future-defining sectors as part of their vision.”

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Oppenheimer & Co. Inc.

[Oppenheimer & Co. Inc.](#) (Oppenheimer), a principal subsidiary of Oppenheimer Holdings Inc. (OPY on the New York Stock Exchange), and its affiliates provide a full range of wealth management, securities brokerage and investment banking services to high-net-worth individuals, families, corporate executives, local governments, businesses and institutions.